

2015

Toward Head-Up and Head-Worn Displays for Equivalent Visual Operations

Lawrence (Lance) J. Prinzel III

Jarvis (Trey) J. Arthur

Randall E. Bailey

Kevin J. Shelton

Lynda J. Kramer

See next page for additional authors

Follow this and additional works at: https://corescholar.libraries.wright.edu/isap_2015



Part of the [Other Psychiatry and Psychology Commons](#)

Repository Citation

Prinzel, L. J., Arthur, J. J., Bailey, R. E., Shelton, K. J., Kramer, L. J., Jones, D. R., Williams, S. P., Harrison, S. J., & Ellis, K. K. (2015). Toward Head-Up and Head-Worn Displays for Equivalent Visual Operations. *18th International Symposium on Aviation Psychology*, 614-619.

https://corescholar.libraries.wright.edu/isap_2015/3

This Article is brought to you for free and open access by the International Symposium on Aviation Psychology at CORE Scholar. It has been accepted for inclusion in International Symposium on Aviation Psychology - 2015 by an authorized administrator of CORE Scholar. For more information, please contact corescholar@www.libraries.wright.edu, library-corescholar@wright.edu.

Authors

Lawrence (Lance) J. Prinzel III, Jarvis (Trey) J. Arthur, Randall E. Bailey, Kevin J. Shelton, Lynda J. Kramer, Denise R. Jones, Steven P. Williams, Stephanie J. Harrison, and Kyle K. Ellis

TOWARD HEAD-UP AND HEAD-WORN DISPLAYS FOR EQUIVALENT VISUAL OPERATIONS

Lawrence (Lance) J. Prinzel III, Jarvis J. (Trey) Arthur, Randall E. Bailey, Kevin J. Shelton, Lynda J. Kramer, Denise R. Jones, Steven P. Williams, Stephanie J. Harrison, Kyle K. Ellis

NASA Langley Research Center
Hampton, VA

A key capability envisioned for the future air transportation system is the concept of equivalent visual operations (EVO). EVO is the capability to achieve the safety of current-day Visual Flight Rules (VFR) operations and maintain the operational tempos of VFR irrespective of the weather and visibility conditions. Enhanced Flight Vision Systems (EFVS) offer a path to achieve EVO. NASA has successfully tested EFVS for commercial flight operations that has helped establish the technical merits of EFVS, without reliance on natural vision, to runways without category II/III ground-based navigation and lighting requirements. The research has tested EFVS for operations with both Head-Up Displays (HUDs) and "HUD equivalent" Head-Worn Displays (HWDs). The paper describes the EVO concept and representative NASA EFVS research that demonstrate the potential of these technologies to safely conduct operations in visibilities as low as 1000 feet Runway Visual Range (RVR). Future directions are described including efforts to enable low-visibility approach, landing, and roll-outs using EFVS under conditions as low as 300 feet RVR.

Commercial aviation accident statistics evince the hazards associated with the approach and landing phase of flight. Boeing (2013) reported that 41% of all fatal accidents (2003-2012) occurred during the final approach and landing phase of flight, but approach and landing phases represents only 4% of flight time exposure. Low visibility is often reported as the contributing factor in as much as 90% of controlled flight into terrain (CFIT) landing accidents wherein less than 60% involve high terrain.

In 2003, the U.S. Government established the Next Generation Air Transportation System (NextGen) to transform the national air transportation system. An emerging NextGen concept, termed "Equivalent Visual Operations" (EVO), strives to replicate the airport capacity and safety now achieved under visual flight rules (VFR) in all weather conditions to mitigate, even eliminate, low visibility as an etiology (see Bailey, Prinzel, Kramer, and Young, 2011 for an alternative concept termed, "Better Than Visual"). Today, an alternative, intuitive means of conducting low visibility operations and possibly achieving EVO, is available. EFVS offers an "all-weather" capability, independent of the weather or vision obscuring, without significant aircraft or airport investment that creates real world-like visibility. The use of EFVS supports the Federal Aviation Administration (FAA) 2014 NextGen Implementation plan for "Improved Approaches and Low-Visibility Operations" (FAA, 2014).

Enhanced Flight Vision System

"Enhanced Vision" (EV) refers to an electronic means to provide a display of the external scene by use of an imaging sensor. The FAA defined, "Enhanced Flight Vision System" (EFVS), as, "... an installed aircraft system which uses an electronic means to provide a display of the forward external scene topography (the applicable natural or manmade features of a place or region especially in a way to show their relative positions and elevation) through the use of imaging sensors," An EFVS uses a head-up display (HUD), or equivalent display to provide flight information, navigational guidance, and real-time imagery of external scene via imaging sensors. On January 9, 2004, a final rule, Enhanced Flight Vision Systems, was published in Federal Register (69 FR 1620) that allows an EFVS to be used in lieu of natural vision to descend below the decision altitude/height (DA/DH) or minimum descent altitude (MDA) down to 100 feet above the touchdown zone elevation (TDZE) of intended landing runway.

Proposed EFVS Rulemaking

On December 16, 2010, RTCA SC-213/EUROCAE Working Group 79 (established December 2006) published DO-315A which developed minimum aviation system performance standards (MASPS) which extended the operational credit established under CFR 91.175 (l) and (m) enabling EFVS operations below the 100 feet TDZE to touchdown and rollout (without the requirement for a natural visual segment) down to visibilities as low as 1000 feet RVR (RTCA, 2010). On June 11, 2013, the FAA published a Notice of Proposed Rulemaking to enable EFVS-equipped aircraft to conduct operations down to touchdown and rollout under visibilities as low as 1000 feet RVR.

The benefits would significantly expand EFVS operations, which should increase efficiency, allowing access to more runways, allowing for new EFVS operations, and minimizing the need for go-arounds and missed approaches during low visibility approach and landing operations (see FAA 2010; 2013). As the FAA observed (FAA-2013-0485-0001), however, there does not exist sufficient historical data to quantify these benefits.

NASA has conducted numerous high-fidelity simulation and aircraft flight test research to provide the requisite data to inform the proposed rulemaking to extend §91.175 operating rules to enable EFVS operations with lower visibility minimums. Bailey, Kramer, and Williams (2010) provide a review of NASA research that describes the efforts that helped to make “operational credit” EFVS HUD operations a reality.

EFVS Equivalent Displays

With many operational credits being provided by HUD operations (e.g., AC-120-28D; FAA Order 8400.13), one possible avenue of HWD adoption across the NextGen fleet is by providing a “HUD-equivalent capability.” The requirements for a HWD to meet a HUD-equivalent capability may be derived from FAA guidance material and these “essential features” are described in Bailey, Kramer, & Williams, 2010. NASA has conducted research to evaluate prototype HWD systems as a potential replacement for a HUD as an EFVS. If this equivalence can be shown, then the unique capabilities of the HWD - that is, unlimited field-of-regard head-up operations for low visibility flight operations - can be capitalized. The design challenge (and certification challenge) is to create this equivalent capability without increasing pilot workload, or encumbrance, or obscuration of their normal vision.

Recent NASA HUD/HWD EFVS Research

The following describes three representative examples of simulation and flight test research that have examined the use of EFVS of HUDs and HWDs for the revised §91.175 and RTCA SC-213 proposed extensions for EFVS operations to 1000 ft. RVR. Abbreviated descriptions of methodology and experimental results are provided with references to obtain more detailed information.

HUD EFVS High-Fidelity Simulation

A fixed-based experiment was conducted to evaluate the operational feasibility, pilot workload, and acceptability of conducting straight-in instrument approach procedures with published vertical guidance using EFVS for the approach, landing, roll-out, and turn-off in simulated visibility as low as 1000 ft. RVR (see Kramer, Bailey, et al., 2013).

Pilot Participants

Twenty-four pilots served as participants for the research. The pilots were paired by airline and role (Captain, First Officer) to ensure crew coordination and cohesion with regard to terminal and surface standard operational procedures. All pilots were required to hold an Airline Transport Pilot rating and average pilot experience was over 12,000 flight hours.

Simulation Facility

The research was conducted in the Research Flight Deck (RFD) at NASA LaRC, which is a high-fidelity, 6 degrees-of-freedom motion-based large commercial aircraft simulator with full-mission capability and advanced glass flight deck displays. The out-the-window (OTW) scene was generated by an Evans and Sutherland Image Generator graphics systems providing approximately 200° H by 40° V field-of-view (FOV) at 26 pixels per degree. All standard audio call-outs were generated. The HUD was a Rockwell-Collins HGS-4000 HUD.

Enhanced Vision Simulation

The EV real-time simulation is created by the Evans and Sutherland EPX physics-based sensor simulation. The EV simulation mimicked the performance of a short-wave/mid-wave forward looking IR (FLIR) sensor, using a ~1.0 to 5.0 micron wavelength detector. The nominal enhanced visibility was approximately 2400 feet for this experiment. The EV eye point reference/parallax error was 2.5 milliradian (mrad) to a point located 2000 feet away (DO-315 specifies 5 mrad max).

Evaluation Task

Approaches were flown only to runways with Medium intensity Approach Lighting System with Runway alignment indicator lights (MALSR) installed. ORD Runways 4R, 9R, 22L, or 22R were used. All runways had available high intensity runway lights and serviceable centerline and surface markings. Airport lighting was drawn using calligraphics. The evaluation task was a straight-in Instrument Landing System (ILS) approach that started three nautical miles (nm) from assigned runway threshold with a three degree descent angle. The weather consisted of low to moderate winds with either ten knot headwind, ten knot tailwind, 7.5 knot crosswind, or 15 knot crosswind, light turbulence (root-mean-square (rms) of 1 ft/sec), and varying OTW visibility levels (1800 feet, 1400 feet, or 1000 feet RVR). Auto-throttles were used for all approaches.

Experimental Results

Landing criteria of Joint Aviation Authorities All Weather Operations (JAR-AWO) and AC-120-28D (Appendix 3, section 6.3.1) was adopted from CAT III requirements for the purpose here to evaluate EFVS landings. Overall, the touchdown statistics evinced to be within the “desired” range for both longitudinal and lateral position and “adequate” for sink rate at touchdown. No go-arounds were conducted for trials with the EFVS HUD and the positional performance was excellent. Pilots reported “moderate, easily managed” (Ames & George, 1993) workload.

HUD EFVS Flight Test

The flight test evaluated synthetic and enhanced vision systems in partnership with Honeywell and Gulfstream with the objectives to determine (see Shelton, Kramer, Ellis, & Rehfeld, 2012) operational feasibility, pilot workload, and pilot acceptability of conducting a straight-in instrument approach with published vertical guidance using EFVS during approach, landing, roll-out, and runway exit in visibility of at least reported 1000 RVR.

Pilot Participants

Six pilots participated in the flight evaluations representing a cross-section from commercial, military, corporate, and the FAA (FAA test pilot). Average total flight time was 9108 hours with a max/min of 16250 and 4800 hours, respectively. Average commercial pilot experience was 28 years (range of 35 to 19 years). All pilots had flight experience with EFVS (379 average hours).

Test Aircraft

The flight test was conducted using Gulfstream’s G450 flight test aircraft N401SR, S/N 4001. The test aircraft was equipped with certified avionics and software including the Honeywell SV-Primary Flight Display (PFD) and monochromatic EFVS HUD with display of conformal symbolic information, flight information, and FLIR imagery. The aircraft’s certified avionics are described in Shelton, Kramer, Ellis, and Rehfeld (2012).

Enhanced Flight Vision System

The certified EFVS onboard consisted of a Rockwell-Collins’ model HGS 6250 and Kollsman Enhanced Vision System (EVS) II infra-red camera (FLIR) and approved to conduct EFVS operations, based on electronic flight visibility, to descent below published minima to 100’ HAT (14 CFR §91.175(l), (m)). The Kollsman II EVS has a FLIR sensitivity of less than 5mK, IR spectrum 1 to 5 Micron, and 30°H x 22.5°V FOV.

Evaluation Task

Shelton, Kramer, Ellis, and Rehfeld (2012) describe the training, airport and runway selection criteria, and crew procedures. Nine test flights were flown in Gulfstream’s G450 flight test aircraft and pilots flew 108 approaches (SVS, EFVS, and baseline displays) in low visibility weather conditions (600 feet to 3600 feet reported visibility) under different obscuration (mist, fog, drizzle fog, frozen fog) and sky cover (broken, overcast). A total of 73 useable EFVS approach evaluations were conducted with 53 touchdowns, and 20 (27%) missed approaches; the 20 go-arounds were all conducted safely based on decision criteria established for the FAA exemption waiver (FAA “Certificate of Waiver” was issued April 1, 2011 thru March 31, 2012) to conduct the approaches below published DH/DA/MDA to landing using an EFVS.

Experimental Results

Out of the 80 EFVS approaches, seven were culled out of the data analysis for various extraneous reasons such as: Approach Lightning System (ALS) automatically turning off, or the evaluation pilot mistakenly left autopilot on during much of the approach, etc. These events were anomalous and caused significant deviations from the nominal operation and therefore, were not representative of the other approaches.

Of the 73 useable EFVS approach evaluations, 53 (73%) resulted in a touchdown and 20 (27%) resulted in missed approach. Eight of the EFVS approaches were to an offset runway. The 20 missed EFVS approaches were all conducted safely with the go-around decision correctly determined based on conditions. All approaches were within Category II approach minima, as outlined in AC120-29A, for the glideslope vertical CAT II minima (0.46 dots) and localizer lateral CAT II minima (0.33 dots), with the exception of one approach (lateral deviation = 0.37 dots), in a challenging crosswind, that resulted in a safe successful touchdown. RMS EFVS Landing Decision Altitude call-out for touchdowns was 126 feet radar altitude versus. 163 feet for missed approaches. The touch-down means reported were for longitudinal (2058 feet, $\delta = 501$ feet) and lateral (3.47 feet, $\delta = 3.28$ feet). Pilot workload ratings (Ames & George, 1993) ranged from “easily managed” during landing (2.5 rating) and go-around (2.9 rating).

HUD/HWD EFVS High-Fidelity Simulation

The NASA HUD/HWD EFVS RFD simulation study was conducted to evaluate “equivalent displays” of head-worn displays (HWD) for manually flown approach and landing EFVS operations under simulated visibilities as low as 1000 feet RVR (see Arthur et al., 2014).

Pilot Participants

Twenty-four commercial airline transport pilot-rated pilots participated in the research and had familiarity with the Memphis International Airport (FAA identifier: MEM). All pilots were required to have significant HUD experience (>100 hours) and preference was given to those with EV/EFVS training. Pilots were paired by airline and role, as in previous studies, forming twelve flight crews.

Head-Up Display

The HGS6700 commercial HUD is collimated and subtends 46°H by 34.5°V FOV with a 1400 x 1050 display resolution and greater than 4,000fL display brightness. The HUD system was measured to be 14 kg in weight. The HUD provided stroke FLIR imagery.

Head-Worn Display

A prototype head tracker was used to provide head orientation and was mounted on the left side of a pair of Lumus© DK-32 glasses. The head tracker was a hybrid-inertial tracker with image processing to correct for inertial drift and standard methods were used for ensuring accurate head tracking. The HWD is see-through, full color (green monochrome only used to be consistent with HUD) which utilizes patented Light-guide Optical Element (LOE) technology. The HWD was collimated and subtends 35°H by 20°V FOV with a 1280 x 720 display resolution and greater than 1,000fL display brightness (these specs are markedly lower than the HUD used). The image focal plane matched the HUD at infinity (using LOE). The measured weight was 0.20 kg.

Enhanced Vision Simulation

The same Evans and Sutherland EV real-time, physics-based sensor simulation was used as in the HUD EFVS simulation experiment described earlier, which is capable of modeling a wide range of sensors (image intensification, low-light, and infrared) and wavelengths. The MEM database was instantiated with material code properties. From this database, an IR sensor simulation, interacting with this material-coded database and the simulated weather conditions, created the desired test experimental conditions. As in previous experiments, the EV simulation mimicked the performance of a short-wave/mid-wave FLIR, using a ~1.0 to 5.0 micron wavelength detector. The nominal enhanced visibility was approximately 2400 feet for this experiment with a 2.5mrad eye reference/parallax error.

Evaluation Task

Flight crews conducted manually flown approach and landing operations to MEM runways (36L, 36C, 36R) starting at 1000 feet HAT. The EFVS crew procedures were trained and utilized for all HUD EFVS approach trials. The experiment conditions replicated actual operating conditions, lighting systems, operational procedures, required call-outs, and air traffic controller-pilot communications. All pilots reported that the simulation emulated real-world operations and workload typically experienced during low-visibility operations.

Experimental Results

An Analysis of Variance (ANOVA) was conducted on Flight Technical Error between HUD and HWD displays for localizer dot error and glideslope dot error tracking performance from an altitude of 1000 feet to 50 feet AGL. The results found no significant effects for RMS localizer, glideslope, or sink rate. The same dependent measures were analyzed via ANOVA to examine the effect of the display concepts at published decision height (200 feet) to threshold crossing height (50 feet HAT); this is the "equivalent visual segment." The statistical results showed that the display concepts were not significantly different from each other, during the equivalent visual segment. For the landing phase, the results on touchdown statistics further showed no significant differences between the HUD and HWD for longitudinal distance from threshold, lateral distance, or sink rate. The landing results evince that all landings using either the HUD or HWD were within the AC 120-28D CAT III minima criteria of "desired" (albeit these criteria are based on auto-land performance). The qualitative data also showed that pilots rated the HUD and HWD equivalents in terms of situation awareness and workload measures.

Conclusions

The research on HWDs and HUDs extend beyond the need to evaluate the efficacy of these technologies to achieve EVO. The experiments described are representative examples of NASA efforts to enhance the flight deck to revolutionize how low-visibility approach operations, using an EFVS, are conducted today and in the future. If successful, these works will establish the precedence that an electronic means of visibility can be used in lieu of a pilot's natural vision – a *first* that will open up many new capabilities. The research delineated here evince that a head-up (HUD or HWD) EFVS can safely enable 1000 feet RVR approaches without need of all the many expensive ground-based requirements and significantly reducing airport costs and expanding the number of runways operational under low-visibility conditions. The research establishes the advance of HWD technology that is fast approaching HUD EFVS "display equivalency" while also substantiating the advantages afforded these unlimited field-of-regard displays.

Since 1929, Instrument Flight Rules (IFR) has been conducted by pilots using abstract cockpit instrumentation and navigational aids to allow penetration of the weather until a pilot can see to land. For extremely low visibility conditions, auto-land systems were developed in the 1960s for use when a pilot's vision out-the-windows was almost completely obscured during the landing. However, these auto-land systems cost millions of dollars per airplane, and require millions of dollars in annual maintenance and pilot/crew training costs. Further, only 144 airports are equipped world-wide with expensive landing and lighting systems that enable safe operations at less than 1000 feet visibility.

The value of the EFVS research can be traced to the substantial promise of these head-up display concepts (HUDs, HWDs) to reduce reliance on expensive ground-based landing and lighting systems and significantly increase the number of possible operational runways in use when the weather reduces visibility. Both the HUD and HWDs have been demonstrated to permit low-visibility flight operations in conditions as low as 1000 feet RVR. Recently completed research (December 2014) have extended the HUD EFVS application, using a multi-sensor EVS, to 300 feet RVR approaches. Today, the HUD enjoys operational credit to allow manual approaches (700 feet RVR) and departures (300 feet RVR). Enhanced vision (an EFVS) may further that credit to allow CAT IIIb approaches and departures without need of certified CAT III auto-lands, landing (e.g., CAT III ILS) and lighting systems (e.g., ALSF-2). Further, other "vision technologies", in particular SVS, may complement EFVS to potentially permit EVO to all phases of flight (SVS provides database-based imagery of the flight environment independent of real-time imaging sensors). Taken together, the research may pave the way toward true "all weather" operations and revolutionize future low-visibility operations. Indeed, the EFVS concept may actually best the EVO NextGen idea; and, rather than "equivalent visual operations," may allow instead "better-than-visual-operations" (Bailey, Prinzel, et al., 2011) as the standard for Next and Future Generation Air Transportation Systems.

The path toward “better-than-visual” operations shall require many changes, and there remains significant hurdles to realities. Although EFVS has been certified and today allows manually flown approaches to continue below published DA/DH to a required visual segment at 100 feet HAT and current regulatory efforts likely will permit no visual segment landings to 1000 feet RVR, there are many challenges that remain. These include the quality of the enhanced vision sensor; the weight and costs of these systems; the use of head-down EVS as an EFVS “equivalent display”; to name a few. Further, the transformation requires solution to issues of restricted flight visibility in other operational phases, such as issues of high runway occupancy time and need for expensive surface movement guidance and control systems and surface operational procedures. However, given the tremendous potential of the EFVS and combined vision system (e.g., EFVS + SVS), envisioned applications abound and with continued research and practice, the distinctions between IFR and VFR may become a moot distinction. Examples include operational requirements that exist today to preserve level of safety under instrument meteorological conditions (IMC), such as need for airport alternates and emergency fuel; IFR procedures, such as IMC traffic spacing or precision instrument approaches; or certain avionics, such as auto-land systems, may no longer be necessary. Much work remains but the existing body of work and continued advancement in the technologies evince the tremendous potential capability of these vision-based technologies toward a singular operational concept of “equivalent visual flight rules”.

References

- Ames, L.L., & George, E.J. (1993). "Revision and verification of a seven-point workload estimation scale," Air Force Flight Test Center: AFFTC-TIM-93-01.
- Arthur, J.J., Prinzel, L.J., Barnes, J.R., Williams, S.P., Jones, D.R., Harrison, S.J., & Bailey, R.E. (2014). Performance comparison between a head-worn display system and a head-up display for low visibility commercial operations. Baltimore, MD: International Society for Optical Engineering (SPIE).
- Bailey, R. E., Kramer, L. J., and Williams, S. P. (2010). Enhanced vision for all-weather operations under NextGen. Orlando, FL: International Society for Optical Engineering (SPIE).
- Bailey, R.E., Prinzel, L.J., Kramer, L.J., & Young, S.D. (2011). Concept of Operations for Integrated Intelligent Flight Deck Displays and Decision Support Technologies. NASA/TM-2011-217081, Hampton, VA.
- Boeing (2013). Statistical summary of commercial jet airplane accidents (1959-2012). Seattle, WA: Boeing.
- Federal Aviation Administration (2010). Enhanced flight vision systems. Advisory Circular 90-106. Washington, D.C.: FAA.
- Federal Aviation Administration (2013). Operational Requirements for the Use of Enhanced Flight Vision Systems (EFVS) and to Pilot Compartment View Requirements for Vision Systems. Federal Register Number: 2013-13454. Washington, D.C.: FAA.
- Federal Aviation Administration (2014). NextGen Implementation Plan. Washington, D.C.: FAA.
- Kramer, L.J., Bailey, R.E., Ellis, K.K., Williams, S.P., Arthur, J.J., Prinzel, & Shelton, K.J. (2013). Enhanced flight vision systems and synthetic vision systems for NextGen Approach and Landing Operations. NASA Langley Research Center, NASA/ TP-2013-218054, Hampton, VA.
- Radio Technical Commission for Aeronautics (RTCA) Minimum Aviation System Performance Standards (MASPS) for Enhanced Vision Systems, Synthetic Vision Systems, Combined Vision Systems and Enhanced Flight Vision Systems (DO-315A). Issued September 15, 2010. Washington, D.C.: RTCA.
- Shelton, K.J., Kramer, L.J., Ellis, K.K., Rehfeld, S.A. (2012). Synthetic and enhanced vision systems for NextGen (SEVS) simulation and flight test performance evaluation. Williamsburg, VA: Digital Avionics Systems Conference (DASC).