Identification of Electron-Irradiation Defects in Semi-Insulating GaAs by Normalized Thermally Stimulated Current Measurements

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Identification of electron-irradiation defects in semi-insulating GaAs by normalized thermally stimulated current measurements

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Primary defects induced by 1 MeV electron irradiation have been quantitatively studied in semi-insulating (SI) GaAs by using normalized thermally stimulated current spectroscopy, a new technique. Defects identical to (or similar to) those known in the thermally stimulated current literature as \( T_6 \) (0.13 eV), \( T_8 \) (0.34 eV), and \( T_9 \) (0.31 eV) are produced at rates 0.70, 0.08, and 0.23 cm\(^{-1}\), respectively; \( T_5 \) is also a strong trap in unirradiated SI GaAs. The defects \( T_6 \) and \( T_8 \) correspond closely to the irradiation-induced traps \( E_2 \) (0.14 eV) and \( E_3 \) (0.30 eV), studied extensively by deep-level transient spectroscopy and Hall-effect measurements and assigned to the As vacancy. We thus infer that traps \( T_6 \) and \( T_8 \) (and probably also \( T_5 \)) in SI GaAs have As-vacancy character.

INTRODUCTION

High-energy electron irradiation has been employed to study primary defects (vacancies, interstitials, and, sometimes, antisites) in many metal and semiconductor materials. Typically, the electron energy necessary to displace an atom will be a few hundred keV; thus, the common choice of 1-MeV irradiation will produce only one, or at most a few, displacements and no massive damage, such as is often found with heavy-ion irradiation. Semiconducting GaAs has been investigated in this manner for several decades (for reviews, see Refs. 2 and 3). Many techniques have been employed, but quantitative analysis has mainly relied on temperature-dependent Hall-effect (TDH) (Ref. 4) and deep-level transient spectroscopy (DLTS) measurements, or other methods involving capacitance. However, the TDH and DLTS techniques cannot be applied in semi-insulating (SI) GaAs, an important material that forms the basis of the GaAs device industry. The only remaining unknown quantity, in general, is the absorption coefficient \( \alpha(T) \); however, fortunately, for IR excitation of electrons from \( EL_2 \) (the \( As_{Ga}\)-related defect that is dominant in SI GaAs), \( \alpha(T) \) is well known. The TSC for electron traps can be shown to obey

\[
I_{TSC} = e \mu n \tau n \frac{wd}{V} \frac{VN_T e_{aT}}{\exp\left(-\frac{T}{T_0}\right) \beta dT'},
\]

where a rectangular sample is assumed (length \( l \), width \( w \), and thickness \( d \)), \( V \) is the applied voltage, \( N_T \) the trap density, \( T_0 \) the starting temperature, \( \beta \) the heating rate, and \( e_a \) the emission rate given by

\[
e_a = \frac{16\pi T^3 m_e^3 k^2}{h^3} \left( \frac{g_0}{g_1} \sigma_{n0} e^{\alpha_T/kT} \right) T^2 e^{-(E_0+E_a)/kT}.
\]

Here, \( g_0 \) (\( g_1 \)) is the degeneracy of the unoccupied (occupied) state, \( \sigma_n = \sigma_{n0} \exp(-E_a/kT) \) is the electron capture cross section, \( \alpha_T \) is defined by \( E = E_0 - \alpha_T T \), and \( E \) is the energy of the trap defined with respect to the conduction band. Often the term \( g_0/g_1 \) is called the apparent capture cross section \( \sigma_a \), and \( (E_0 + E_a) \), the apparent energy, \( E_a \). For a nonrectangular sample, the factor \( wdl/\) will change; however, it will cancel out anyway in the ratio \( I_{TSC}/I_{PC} \) as shown below.

We now turn to the derivation of the PC under illumination by IR light (1.1 \( \mu m \) in our case) of intensity \( I_0 \) photons/cm\(^2\) s. In a thick sample (\( ad \gg 1 \), where \( a \) is the absorption coefficient), all of the light will be absorbed except for that reflected by the front surface; in other words, the effective light intensity is \( I_0 (1 - R) \). However, in a thin sample, multiple reflections involving the back surface also must be considered. Consider a small region at distance \( x \) from the sample surface and of length \( dx \); then the volume...
concentration of electrons \( n_{1d} \) produced on the first downward pass of the light (before reflection at the back surface) is

\[
n_{1d} = \frac{1}{d} \int_0^d I_0(1-R) \alpha_n e^{-\alpha d} n dx
\]

\[
= I_0(1-R) \alpha_n \int_0^d 1 - e^{-\alpha d} \frac{1}{\alpha d} dx,
\]

where \( \alpha_n \) is the portion of the absorption which produces free electrons and \( \alpha \) is the total absorption. The intensity of light reaching the backside is \( I_0R(1-R) \exp(-\alpha d) \) and the intensity reflected back toward the upper surface is \( I_0R(1-R) \exp(-\alpha d) \). Thus, the concentration of electrons produced during the first upward pass is \( n_{1u} \).

For trap filling, we used 1.45-eV light, an energy just below the band gap at 83 K, the temperature at which the traps were filled. The reason for filling with 1.45-eV light, rather than the 1.1-\( \mu \)m light used for the PC, is that the latter can also cause \( EL_2 \) quenching, which complicates the analysis.\(^7\) To test the importance of Eq. (6) in the present analysis, we employed a wide range of filling wavelengths \( \lambda \) and intensities \( I_0 \), and measured \( N_T \) (or really \( N_T \)) for each. The conclusion was that only trap \( T_5 \) was affected much by \( \lambda \) or \( I_0 \), but that even for \( T_5 \), the chosen conditions \( [\lambda = 0.855 \ \mu \text{m} \ (1.45 \text{ eV}) \text{ and } I_0 = 3.3 \times 10^{14} \text{ photons/cm}^2 \text{ s}] \) gave nearly the maximum peak height. Thus, we believe that the ratio \( N_T^0/N_T \) in our experiment is within 10% of unity for all of the traps, \( T_0^*, T_5^*, T_3^*, \) and \( T_4^* \).

For trap \( T_5^* \), which has a peak at 91 K, we must also consider emission during the 30-s time interval that the sample sits at 83 K after the light has been turned off but before the sweep has begun. Fortunately, the fitting of Eq. (5) to the NTSC spectrum gives \( e_\mu(T) \) [Eq. (2)] and therefore the loss of neutral (filled) \( T_5^* \) traps can be easily calculated:

\[
\frac{[T_5^*]^0(t)}{[T_5^*]^0(0)} = e^{-e_\mu(38 \text{ K}) t},
\]

where \( t = 30 \text{ s} \) in our case. It turns out that the loss is about 25%, and this factor must be included in the analysis.

Finally, we must analyze the effects of electron energy loss in a thick sample. Let \( E_d \) be the absorbed energy necessary to displace an atom (\( E_d = 10 \text{ eV} \) in GaAs).\(^3\) Then the minimum (threshold) electron energy \( E_t \) that will transfer at least \( E_d \) to an atom of mass \( M \) is given by (Eq. 1.46, Ref. 1).

\[
E_d = 2 \left( \frac{m_e}{M} \right) \frac{E_t(E_d + 2m_e c^2)}{m_e c^2}.
\]

Thus, \( E_t = 0.27 \text{ MeV} \) for \( E_d = 10 \text{ eV} \). A numerical calculation using electron energy-loss theory\(^12\) (most of the loss is due to electronic collisions) gives a range of 970 \( \mu \)m for an initially \( 1-\text{MeV} \) electron to fall to 0.27 MeV. Thus, appreciable energy loss will occur in a typical 600–700-\( \mu \)m-thick sample, and more displacements will occur near the upper surface than the lower surface. This situation is discussed in Ref. 1, and Eq. 1.57 of that reference can be rewritten as follows:

\[
N_T = N_T(E) \left[ 1 - \frac{d}{2[R(E) - R(E_\text{th})]} \right],
\]

where \( E = 1 \text{ MeV} \) and \( E_\text{th} = 0.27 \text{ MeV} \) in this case. Thus, if samples of different thickness \( d \) are available, then a plot of \( N_T \) vs \( d \) will have an intercept \( N_T(1 \text{ MeV}) \) at \( d = 0 \). Such an analysis will allow comparison with DLTS results, which are concerned only with near-surface defects and thus can be analyzed by assuming a constant energy of 1 MeV.
EXPERIMENTAL RESULTS

Three adjacent 6×6 mm² pieces were cut from a 100-mm-diameter, 615-μm-thick SI GaAs wafer grown by the low-pressure liquid-encapsulated Czochralski method. Hall-effect analysis determined a resistivity of 3.4×10⁷ Ω cm, a mobility of 7200 cm²/V s, and a carrier concentration of 2.6×10¹⁷ cm⁻³, all at 296 K. Transmission measurements [see the discussion following Eq. (5)] gave N₁¹²⁶ = 1.5×10¹⁶ cm⁻³, and N₂¹²⁶ = 1×10¹⁵ cm⁻³, typical results for such wafers. Ohmic contacts were formed from In dots on the sample corners, and the In was annealed at 425 °C for 5 min. Current was passed between diagonal contacts. (The geometric factor in such a case is not w/d², but it cancels out anyway in the ratio \( I_{TSC/Pc} \).) One of the three samples was lapped to 415 μm, and another to 215 μm, in order to apply Eq. (9).

Electron irradiation was carried out in a van de Graaff accelerator capable of supplying 40 μA of 2.2-MeV electrons. However, in this experiment, a 1-MeV beam of electrons was passed through approximately 10 cm of air before hitting the target. This resulted in a target flux of only 250 nA/cm² that was applied for 6 min, giving a total dose of 5×10¹⁴ 1-MeV electrons/cm². This small dose had only a slight effect on the electrical properties, such as the dark current and photocurrent, but was large enough to produce substantial changes in several trap concentrations. NTSC data for the 215-μm-thick sample are presented in Fig. 1. Clearly, very large increases of traps \( T₀^* \) and \( T₄ \) occur, smaller increases of traps \( T₅ \), \( T₄^* \), and \( T₁ \), and no measurable increase of traps \( T₂ \) and \( T₃ \). Data for all other samples investigated by us look substantially the same. Quantitative, least-squares fits to Eq. (5), without any approximations, were carried out for traps \( T₀^* \) (80–110 K), \( T₅ \), and \( T₄ \) (130–170 K). The latter two traps had to be fitted simultaneously, i.e., \( I_{TSC/Pc} = (I_{TSC/Pc} + I_{TSC/Pc} / 3) + (I_{TSC/Pc} / 4) \), because of the strong overlap. Excellent fits for all traps were achieved, as shown in Fig. 2. For traps \( T₅ \) and \( T₄ \) of the 215-μm-thick sample. The fitting parameters are summarized in Table I. The \( N_T \) value in column 3 is determined by subtracting \( N_T \) (as-grown) from \( N_T \) (irradiated) for each of the traps.

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From Table I, it is seen that the apparent trap concentrations vary with thickness. This effect was predicted by Eq. (9) and is due to the electron energy loss in the thick samples. Plots of \( N_T \) vs \( d \) for traps \( T₀^* \), \( T₅ \), and \( T₄ \) are shown in Fig. 3, and straight lines are clearly found for traps \( T₀^* \) and \( T₅ \), although the relationship for \( T₄ \) is more dubious. The concentration values extrapolated to \( d = 0 \) [i.e., \( N_T(1 \text{ MeV}) \) in Eq. (7)] are given in Table I, and lead to production rates of 0.70, 0.078, and 0.23 cm⁻¹ for traps \( T₀^* \), \( T₅ \), and \( T₄ \), respectively. The corresponding values of \( [R(E) - R(E_{th})] \) are 920, 840, and 1530 μm, respectively, but the last value should be discarded, because the fit to Eq. (9) for \( T₄ \) is not nearly as good as the fits for the other two traps. (With only three points, if one of them is off, the whole fit is compromised.) As mentioned earlier, a numerical calculation of \( [R(1 \text{ MeV}) - R(0.27 \text{ MeV})] \), from detailed nuclear scattering theory, gives a value 970 μm, in good agreement with our fits of the \( T₀^* \) and \( T₅ \) data. Thus, we evidently have included the electron energy-loss effect properly. It is recommended that such an analysis be employed for all investigations which use GaAs samples of normal thickness (600–800 μm) and which involve the whole bulk. As mentioned earlier, however, DLTS analysis is not affected by energy loss be-

<table>
<thead>
<tr>
<th>( d ) (μm) \</th>
<th>Trap \</th>
<th>( N_T ) (10¹⁴ cm⁻³) \</th>
<th>( E_a ) (eV) \</th>
<th>( \sigma_a ) (cm²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>215 \</td>
<td>( T₀^* ) \</td>
<td>3.10 \</td>
<td>0.13 \</td>
<td>4×10⁻¹⁹</td>
</tr>
<tr>
<td>\</td>
<td>( T₅ ) \</td>
<td>0.34 \</td>
<td>0.34 \</td>
<td>3×10⁻¹⁴</td>
</tr>
<tr>
<td>\</td>
<td>( T₄ ) \</td>
<td>1.10 \</td>
<td>0.31 \</td>
<td>7×10⁻¹⁷</td>
</tr>
<tr>
<td>415 \</td>
<td>( T₅^* ) \</td>
<td>2.72 \</td>
<td>0.12 \</td>
<td>3×10⁻¹⁹</td>
</tr>
<tr>
<td>\</td>
<td>( T₅ ) \</td>
<td>0.29 \</td>
<td>0.35 \</td>
<td>4×10⁻¹⁴</td>
</tr>
<tr>
<td>\</td>
<td>( T₄ ) \</td>
<td>0.95 \</td>
<td>0.32 \</td>
<td>4×10⁻¹⁶</td>
</tr>
<tr>
<td>615 \</td>
<td>( T₅^* ) \</td>
<td>2.33 \</td>
<td>0.12 \</td>
<td>1×10⁻¹⁹</td>
</tr>
<tr>
<td>\</td>
<td>( T₅ ) \</td>
<td>0.25 \</td>
<td>0.33 \</td>
<td>1×10⁻¹⁴</td>
</tr>
<tr>
<td>\</td>
<td>( T₄ ) \</td>
<td>0.94 \</td>
<td>0.30 \</td>
<td>5×10⁻¹⁷</td>
</tr>
<tr>
<td>0 \</td>
<td>( T₅^* ) \</td>
<td>3.51 \</td>
<td>* \</td>
<td>*</td>
</tr>
<tr>
<td>\</td>
<td>( T₄ ) \</td>
<td>0.39 \</td>
<td>* \</td>
<td>*</td>
</tr>
<tr>
<td>\</td>
<td>( T₄ ) \</td>
<td>1.15 \</td>
<td>* \</td>
<td>*</td>
</tr>
</tbody>
</table>

*\( y \)-axis intercept (\( d = 0 \)) of \( N_T \) vs \( d \) plot.
cause it involves only a small region (typically 0.1–1 μm) near the surface.

**DISCUSSION**

The main 1 MeV irradiation traps found by DLTS in conductive, n-type GaAs samples are E1 (Ee = 0.045 eV, σr ≈ 2 × 10⁻¹⁵ cm², r = 1.5 cm⁻¹), E2 (0.14 eV, 1 × 10⁻¹³ cm², 1.5 cm⁻¹), and E3 (0.30 eV, 6 × 10⁻¹⁵ cm², 0.4 cm⁻¹), where r is the production rate. Since the E1 peak would occur well below 80 K in the TSC experiment (as it does also in the DLTS experiment), we do not see a TSC peak analogous to E1 with our apparatus. However, we see strong similarities between E2 and T6* (0.13 eV, 4 × 10⁻¹⁹ cm², 0.7 cm⁻¹), and between E3 and T4 (0.31 eV, 1 × 10⁻¹⁶ cm², 0.2 cm⁻¹). That is, (1) in each case (DLTS and TSC), they are the main irradiation traps; (2) their energies (E2 with T6*, and E3 with T4) are very close; and (3) their respective production rates are within a factor of 2. Only the capture cross sections differ greatly, especially between E2 and T6*.

Regarding the production rates, we notice that the ratios r(E2)/r(E3), and r(T6*)/r(T4), are equal, within error; thus, it seems that a systematic error could be present in the NTSC analysis, the DLTS analysis, or both. In the NTSC case, the light intensity I0 has some degree of uncertainty, because its measurement is accomplished by replacing the whole sample stage with a calibrated photodetector, and it is difficult to ensure that the sample and detector are in the same situation. For the DLTS case, calibration is accomplished through measurement of the background shallow donor concentration by the C-V technique, and this method also has sources of error, such as the determination of the diode area A (nₑVₑ⁻¹A⁻²). Thus, perhaps a factor 2 between the DLTS and NTSC production rates should not be considered unreasonable. It is also possible, of course, that the primary defect (probably Vₐₐ) production rate in SI material is inherently lower than that in a conductive material, due perhaps to charge-state effects. However, a recent Hall-effect study of the 0.15-eV defect in conductive GaAs finds a production rate of 0.6 cm⁻¹, very close to our NTSC value. At this point, we do not know why the DLTS production rate differs from that found by the NTSC and Hall-effect methods.

The differences in apparent capture cross section σ are more difficult to resolve; however, several points can be noted in this regard. (1) In the DLTS case, and usually in the TSC case (but not here), cross sections are measured as an intercept of an Arrhenius plot.²,¹¹ Because a slight error in slope (or Eₐ) gives a large error in the intercept (σₐ), the latter can be very inaccurate. As an example, in a DLTS study of the same sample by several different laboratories, the Eₐ of EL2 varied only from 0.72–0.84 eV, but the σₐ varied from 4 × 10⁻¹⁷ to 5 × 10⁻¹⁵ cm², while the “accepted” value is 1 × 10⁻¹³ cm² (Ref. 11, p. 201). In our comparison, we are determining σₐ by two different methods (DLTS and NTSC), and in two different types of GaAs (conducting and semi-insulating, respectively). Thus, large disagreements in the values of σₐ should perhaps be expected. (2) The DLTS experiment is affected only by the surface region (typically 0.1–1 μm), and also involves a very high electric field (typically 10⁶–10⁷ V/cm). Such high fields can greatly affect emission rates. (3) Note that the T3 and T4 energies are quite similar, 0.31 and 0.34 eV, respectively, and their respective NTSC peaks are close enough that they might not be resolved in the DLTS experiment. Since σₐ for T5, ~3 × 10⁻¹⁴/cm², is much higher than that of T4, the combination of the two σₐ’s might be similar to that of E3. The same situation might apply to the E2/T₆* combination; i.e., there could be another NTSC trap, with a similar energy to that of T₆*, but a higher cross section. Its peak could occur below 83 K, in which case we would not see it. The DLTS defect E2 might then be a combination of T₆* and this unseen NTSC peak. However, without lower-temperature measurements we cannot resolve this issue.

**SUMMARY**

We have developed a form of thermally stimulated current measurements, called normalized TSC, or NTSC, which eliminates uncertainties due to mobility, lifetime, and geometric factors, but which adds a new factor, the absorption coefficient. Fortunately, the infrared absorption coefficient in semi-insulating GaAs is well known and thus allows the NTSC technique to be completely quantitative in this material. Also, our analysis does not invoke the usual approximations (e.g., an Arrhenius plot of peak positions for different temperature sweep rates), but instead the whole NTSC spectrum, for only one sweep rate, is fitted exactly to the derived formula. This methodology was applied to 1-MeV electron irradiation in semi-insulating GaAs. Three irradiation traps were found: T₆* at 0.13 eV, T₅ at 0.34 eV, and T₄ at 0.31 eV. Of these traps, only T₅ is commonly found at high concentrations in unirradiated SI GaAs; T₆* and T₄ are sometimes found in low concentrations, although they may involve slightly different configurations (complexes) than those produced by the irradiation. The two main traps observed in conductive GaAs, by DLTS, namely, E2 and E3, are identified with T₆* and T₄, respectively. (However, E3 may involve both T₄ and T₃.) From extensive previous analysis of the DLTS defects, we then identify T₆* with the isolated As vacancy Vₐ, and T₄ and T₅ with Vₐ-As₅ complexes.
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